

**FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION**

1331 PENNSYLVANIA AVENUE, NW, SUITE 520N  
WASHINGTON, D.C. 20004-1710

**February 10, 2023**

SECRETARY OF LABOR,	:	
MINE SAFETY AND HEALTH	:	
ADMINISTRATION (MSHA)	:	
	:	
v.	:	Docket No. WEVA 2022-0207
	:	A.C. No. 46-09377-544606
ROCKWELL MINING, LLC	:	

BEFORE: Jordan, Chair; Althen, Rajkovich, and Baker, Commissioners

ORDER

BY THE COMMISSION:

This matter arises under the Federal Mine Safety and Health Act of 1977, 30 U.S.C. § 801 et seq. (2018) (“Mine Act”). On February 22, 2022, the Commission received from Rockwell Mining, LLC (“Rockwell”) a motion seeking to reopen a penalty assessment that had become a final order of the Commission pursuant to section 105(a) of the Mine Act, 30 U.S.C. § 815(a).


Under section 105(a), an operator who wishes to contest a proposed penalty must notify the Secretary of Labor no later than 30 days after receiving the proposed penalty assessment. If the operator fails to notify the Secretary, the proposed penalty assessment is deemed a final order of the Commission. 30 U.S.C. § 815(a).

We have held, however, that in appropriate circumstances, we possess jurisdiction to reopen uncontested assessments that have become final Commission orders under section 105(a). *Jim Walter Res., Inc.*, 15 FMSHRC 782, 786-89 (May 1993) (“JWR”). In evaluating requests to reopen final orders, the Commission has found guidance in Rule 60(b) of the Federal Rules of Civil Procedure, under which the Commission may relieve a party from a final order of the Commission on the basis of mistake, inadvertence, excusable neglect, or other reason justifying relief. *See* 29 C.F.R. § 2700.1(b) (“the Commission and its Judges shall be guided so far as practicable by the Federal Rules of Civil Procedure”); JWR, 15 FMSHRC at 787. We have also observed that default is a harsh remedy and that, if the defaulting party can make a showing of good cause for a failure to timely respond, the case may be reopened and appropriate proceedings on the merits permitted. *See Coal Prep. Servs., Inc.*, 17 FMSHRC 1529, 1530 (Sept. 1995).


The Department of Labor’s Mine Safety and Health Administration (“MSHA”) indicates that the proposed assessment was delivered to the operator on November 13, 2021. The assessment became a final order of the Commission on December 13, 2021.

Rockwell asserts that a new office manager receptionist received the assessment on November 15, 2021, but the receptionist did not provide it to the Corporate Safety Director until February 2, 2022. Rockwell states that proposed assessments are supposed to be scanned and directed to the company’s Corporate Safety Director. It further asserts that the employee has been trained in the correct procedures going forward. The Secretary does not oppose the request to reopen but urges the operator to take steps to ensure that future penalty contests are timely filed in accordance with MSHA’s regulations at 30 C.F.R. § 100.7 and the Commission’s procedural rules.


Having reviewed Rockwell’s request and the Secretary’s response, we find that due to an inadvertent administrative error, the operator failed to timely contest the penalty assessment. In the interest of justice, we hereby reopen this matter and remand it to the Chief Administrative Law Judge for further proceedings pursuant to the Mine Act and the Commission’s Procedural Rules, 29 C.F.R. Part 2700. Accordingly, consistent with Rule 28, the Secretary shall file a petition for assessment of penalty within 45 days of the date of this order. *See* 29 C.F.R. § 2700.28.



Mary Lu Jordan, Chair



William I. Althen, Commissioner



Marco M. Rajkovich, Jr., Commissioner



Timothy J. Baker, Commissioner

**Distribution:**

Colton C. Parsons, Esq.  
Steptoe & Johnson PLLC  
707 Virginia Street East, Chase Tower  
17th Floor, Charleston, WV 25301  
Colton.Parsons@steptoe-johnson.com

Jonathan R. Ellis, Esq.  
707 Virginia Street East, Chase Tower  
17th Floor, Charleston, WV 25301  
Jonathan.Ellis@steptoe-johnson.com

April Nelson, Esq.  
Associate Solicitor  
Office of the Solicitor  
U.S. Department of Labor  
Division of Mine Safety and Health  
201 12th Street South, Suite 401  
Arlington, VA 22202  
Nelson.April@dol.gov

Emily Toler Scott, Esq.  
Counsel for Appellate Litigation  
Office of the Solicitor  
U.S. Department of Labor  
Division of Mine Safety and Health  
201 12th Street South, Suite 401  
Arlington, VA 22202  
scott.emily.t@dol.gov

Melanie Garris  
USDOL/MSHA, OAASEI/CPCO  
201 12th Street South, Suite 401  
Arlington, VA 22202  
Garris.Melanie@DOL.GOV

Chief Administrative Law Judge Glynn F. Voisin  
Federal Mine Safety Health Review Commission  
1331 Pennsylvania Avenue, NW Suite 520N  
Washington, DC 20004-1710  
GVoisin@fmshrc.gov