FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

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August 21, 2023

SECRETARY OF LABOR, :

MINE SAFETY AND HEALTH ADMINISTRATION (MSHA)

Docket No. SE 2023-0043

v. : A.C. No. 31-01258-561300

:

VULCAN CONSTRUCTION :

MATERIALS, LLC

BEFORE: Jordan, Chair; Althen, Rajkovich, and Baker, Commissioners

ORDER

BY THE COMMISSION:

This matter arises under the Federal Mine Safety and Health Act of 1977, 30 U.S.C. § 801 et seq. (2018) ("Mine Act"). On November 29, 2022, the Commission received from Vulcan Construction Materials, LLC ("Vulcan Construction") a motion seeking to reopen a penalty assessment that had become a final order of the Commission pursuant to section 105(a) of the Mine Act, 30 U.S.C. § 815(a).

Under section 105(a) of the Mine Act, an operator who wishes to contest a proposed penalty must notify the Secretary of Labor no later than 30 days after receiving the proposed penalty assessment. If the operator fails to notify the Secretary, the proposed penalty assessment is deemed a final order of the Commission. 30 U.S.C. § 815(a).

We have held, however, that in appropriate circumstances, we possess jurisdiction to reopen uncontested assessments that have become final Commission orders under section 105(a). *Jim Walter Res., Inc.,* 15 FMSHRC 782, 786-89 (May 1993) ("*JWR*"). In evaluating requests to reopen final orders, the Commission has found guidance in Rule 60(b) of the Federal Rules of Civil Procedure, under which the Commission may relieve a party from a final order of the Commission on the basis of mistake, inadvertence, excusable neglect, or other reason justifying relief. *See* 29 C.F.R. § 2700.1(b) ("the Commission and its Judges shall be guided so far as practicable by the Federal Rules of Civil Procedure"); *JWR*, 15 FMSHRC at 787. We have also observed that default is a harsh remedy and that, if the defaulting party can make a showing of good cause for a failure to timely respond, the case may be reopened and appropriate proceedings on the merits permitted. *See Coal Prep. Servs., Inc.,* 17 FMSHRC 1529, 1530 (Sept. 1995).

Records of the Department of Labor's Mine Safety and Health Administration ("MSHA") indicate the proposed assessment was delivered to the operator via U.S. Postal Service on August 29, 2022. On September 28, 2022, the proposed assessment was deemed a final order of the Commission because the operator had not filed a Notice of Contest within 30 days. On November 29, 2022, MSHA mailed a delinquency notice to the operator.

Vulcan Construction does not dispute that the assessment was mailed to the correct address. However, Vulcan Construction has no record of receiving the assessment. The operator asserts that the signature block on USPS' delivery confirmation simply noted "V Construction," therefore it cannot be certain who, if anyone, received the assessment. The exact method of delivery confirmation is unclear. The Secretary of Labor does not oppose the request to reopen.

We note that the motion to reopen was timely filed. The Commission has previously held that "[m]otions to reopen received within 30 days of an operator's receipt of its first notice from MSHA that it has failed to timely file a notice of contest will be presumptively considered as having been filed within a reasonable amount of time." *Highland Mining Co.*, 31 FMSHRC 1313, 1316-17 (Nov. 2009). Here, the motion to reopen was filed on November 29, 2022, the same day the operator received the delinquency notification. Therefore, the motion was filed within a reasonable amount of time.

Having reviewed Vulcan Construction's request and the Secretary's response, we find that the operator has demonstrated good cause for its failure to timely respond and acted in good faith by timely filing its request to reopen. We also recognize that mail delivery was affected by the unprecedented strain of the COVID 19 pandemic during the relevant timeframe. In the interest of justice, we hereby reopen this matter and remand it to the Chief Administrative Law Judge for further proceedings pursuant to the Mine Act and the Commission's Procedural Rules,

29 C.F.R. Part 2700. Accordingly, consistent with Rule 28, the Secretary shall file a petition for assessment of penalty within 45 days of the date of this order. See 29 C.F.R. § 2700.28.

Mary Lu Jordan, Chair

William I. Althen, Commissioner

Marco M. Rajkovich, Jr., Commissioner

Timothy J. Baker, Commissioner

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