#### FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

# 601 NEW JERSEY AVENUE, NW SUITE 9500 WASHINGTON, DC 20001

November 13, 2009

SECRETARY OF LABOR, : MINE SAFETY AND HEALTH : ADMINISTRATION (MSHA) :

: Docket No. LAKE 2010-12-M

v. : A.C. No. 33-00013-190312

:

CARMEUSE LIME INC. :

BEFORE: Jordan, Chairman; Duffy, Young, and Cohen, Commissioners

## **ORDER**

#### BY THE COMMISSION:

This matter arises under the Federal Mine Safety and Health Act of 1977, 30 U.S.C. § 801 et seq. (2006) ("Mine Act"). On October 6, 2009, the Commission received from Carmeuse Lime Inc. ("Carmeuse") a motion from counsel to reopen a penalty assessment that had become a final order of the Commission pursuant to section 105(a) of the Mine Act, 30 U.S.C. § 815(a).

Under section 105(a) of the Mine Act, an operator who wishes to contest a proposed penalty must notify the Secretary of Labor no later than 30 days after receiving the proposed penalty assessment. If the operator fails to notify the Secretary, the proposed penalty assessment is deemed a final order of the Commission. 30 U.S.C. § 815(a).

We have held, however, that in appropriate circumstances, we possess jurisdiction to reopen uncontested assessments that have become final Commission orders under section 105(a). *Jim Walter Res., Inc.*, 15 FMSHRC 782, 786-89 (May 1993) ("*JWR*"). In evaluating requests to reopen final section 105(a) orders, the Commission has found guidance in Rule 60(b) of the Federal Rules of Civil Procedure under which, for example, a party could be entitled to relief from a final order of the Commission on the basis of mistake, inadvertence, or excusable neglect. *See* 29 C.F.R. § 2700.1(b) ("the Commission and its Judges shall be guided so far as practicable by the Federal Rules of Civil Procedure"); *JWR*, 15 FMSHRC at 787. We have also observed that default is a harsh remedy and that, if the defaulting party can make a showing of good cause for a failure to timely respond, the case may be reopened and appropriate proceedings on the merits permitted. *See Coal Prep. Servs., Inc.*, 17 FMSHRC 1529, 1530 (Sept. 1995).

In a detailed submission, Carmeuse explains that the company official responsible for filing notices of contest to penalty assessments was out of the office on travel during much of the 30-day period following the operator's receipt of the subject assessment and that he had taken on additional duties because of the sudden resignation of the regional manager. Consequently the notice that Carmeuse was contesting two of the penalties was mailed one day late to the Department of Labor's Mine Safety and Health Administration. The Secretary of Labor does not oppose reopening.

Having reviewed Carmeuse's request and the Secretary's response, in the interests of justice, we hereby reopen this matter and remand it to the Chief Administrative Law Judge for further proceedings pursuant to the Mine Act and the Commission's Procedural Rules, 29 C.F.R. Part 2700. Accordingly, consistent with Rule 28, the Secretary shall file a petition for assessment of penalty within 45 days of the date of this order. *See* 29 C.F.R. § 2700.28.

Mary Lu Jordan, Chairman
Michael F. Duffy, Commissioner
Michael G. Young, Commissioner
Robert F. Cohen, Jr., Commissioner

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