FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

601 NEW JERSEY AVENUE, NW SUITE 9500 WASHINGTON, DC 20001 May 25, 2006

SECRETARY OF LABOR,	:
MINE SAFETY AND HEALTH	:
ADMINISTRATION (MSHA)	:
	:
V.	:
	:
D.A.S. SAND AND GRAVEL, INC.	:

Docket No. YORK 2006-45-M A.C. No. 30-03254-77405

BEFORE: Duffy, Chairman; Jordan, Suboleski, and Young, Commissioners

<u>ORDER</u>

BY THE COMMISSION:

This matter arises under the Federal Mine Safety and Health Act of 1977, 30 U.S.C. § 801 et seq. (2000) ("Mine Act"). On April 24, 2006, the Commission received a letter from the president of D.A.S. Sand and Gravel, Inc. ("D.A.S.") requesting that the Commission reopen a penalty assessment that became a final order of the Commission pursuant to section 105(a) of the Mine Act, 30 U.S.C. § 815(a).

Under section 105(a) of the Mine Act, an operator who wishes to contest a proposed penalty must notify the Secretary of Labor no later than 30 days after receiving the proposed penalty assessment. If the operator fails to notify the Secretary, the proposed penalty assessment is deemed a final order of the Commission. 30 U.S.C. § 815(a).

On January 17, 2006, the Department of Labor's Mine Safety and Health Administration ("MSHA") sent to D.A.S. the proposed penalty assessment at issue. In his letter to the Commission, the company president asserts, "It has been brought to my attention that your office has not received my paperwork requesting the right to contest these assessments." He alleges that he sent the paperwork to the Commission to contest the proposed penalty assessments on the same day that he received them. He explains, "I have religiously returned my paperwork to contest all of my assessments." The Secretary of Labor states that she does not oppose D.A.S.'s request for relief.

28 FMSHRC 244

We have held that in appropriate circumstances, we possess jurisdiction to reopen uncontested assessments that have become final Commission orders under section 105(a). *Jim Walter Res., Inc.*, 15 FMSHRC 782, 786-89 (May 1993) ("*JWR*"). In evaluating requests to reopen final section 105(a) orders, the Commission has found guidance in Rule 60(b) of the Federal Rules of Civil Procedure under which, for example, a party could be entitled to relief from a final order of the Commission on the basis of inadvertence or mistake. *See* 29 C.F.R. § 2700.1(b) ("the Commission and its Judges shall be guided so far as practicable by the Federal Rules of Civil Procedure"); *JWR*, 15 FMSHRC at 787. We have also observed that default is a harsh remedy and that, if the defaulting party can make a showing of good cause for a failure to timely respond, the case may be reopened and appropriate proceedings on the merits permitted. *See Coal Prep. Servs., Inc.*, 17 FMSHRC 1529, 1530 (Sept. 1995).

Having reviewed D.A.S.'s request, in the interests of justice, we remand this matter to the Chief Administrative Law Judge for a determination of whether good cause exists for D.A.S's apparent failure to timely contest the penalty proposal and whether relief from the final order should be granted. If it is determined that such relief is appropriate, this case shall proceed pursuant to the Mine Act and the Commission's Procedural Rules, 29 C.F.R. Part 2700.

Michael F. Duffy, Chairman

Mary Lu Jordan, Commissioner

Stanley C. Suboleski, Commissioner

Michael G. Young, Commissioner

Distribution

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