3. Agency Component Abbreviations

Component Abbreviation	Component Name

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
39 USC § 410(C)(2)	business practice	Wickwire Gavin, P.C. v. USPS, 356 F.3d 588, 589, 597 (4th Cir. 2004); Am. Postal Workers Union, AFL- CIO v. USPS, 742 F. Supp. 2d 76, 81-83 (D.D.C. 2010); Reid v. USPS, No. 05-294, 2006 WL 1876682, at *5-9 (S.D. III. July 5, 2006); Robinett v. USPS, No. 02-1094, 2002 WL 1728582, at *5 (E.D. La. July 24, 2002).		1	1

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

	Number of	Number of	Number of	Number of
Agency / Component	Requests	Requests	Requests	Requests
Agency / Component	Pending as of Start	Received	Processed	Pending as of End
	of Fiscal Year	in Fiscal Year	in Fiscal Year	of Fiscal Year
FMSHRC	0	101	98	3
				0
AGENCY OVERALL	0	101	98	3

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

		Number			Numb	er of Full D	enials Bas	ed on Reasc	ons Other tl	han Exem	otions		
Agency / Component	Number of Full Grants	of Partial Grants / Partial	Number of Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	TOTAL
FMSHRC	65	12	0	9	C	4	C	1	5	2	0	0	98
													0
AGENCY OVERALL	65	12	0	g	C	4	C	1	5	2	. 0	0	98

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FMSHRC	N/A	C	C
AGENCY OVERALL			C

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FMSHRC	0	1	1	1	2	8	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	1	1	1	2	8	0	0	0	0	0	0	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS --RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FMSHRC	0	2	2	0
				0
AGENCY OVERALL	0	2	2	0

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FMSHRC	1	0	0	1	2
					0
AGENCY OVERALL	1	0	0	1	2

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FMSHRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Linguion		Other *Explain in chart below
FMSHRC	0	0	0	C) C	0	0	0	0	1	1
AGENCY OVERALL	0	0	0	C	C C	0	0	0	0	1	1

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FMSHRC	Moot	1	1
AGENCY OVERALL			1

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AGENCY OVERALL	5.00	5.00	5.00	5.00

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal										
	Number of Days Pending										
AGENCY	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

		SIM	PLE			COM	PLEX		EX	PEDITED F	PROCESSI	NG
Agency / Component	Median Number of	Average Number of	Lowest Number of	Highest Number of	Median Number of	Average Number of	Lowest Number of	Highest Number of	Median Number of	Average Number of	Lowest Number of	Highest Number of
	Days	Days										
AGENCY OVERALL	1	3	<1	25	6	12.4	1	43	1	1	<1	1

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

		SIMPLE				COMPLEX				EXPEDITED PROCESSING			
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	
AGENCY OVERALL	1	2.89	<1	25	7	13	1	43	1	1	1	1	

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FMSHRC	73	1	0	0	0	0	0	0	0	0	0	0	0	74
														0
AGENCY OVERALL	73	1	0	0	0	0	0	0	0	0	0	0	0	74

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FMSHRC	15	2	1	0	0	0	0	0	0	0	0	0	0	18
														0
AGENCY OVERALL	15	2	1	0	0	0	0	0	0	0	0	0	0	18

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FMSHRC	3	0	0	0	0	0	0	0	0	0	0	0	0	3
														0
AGENCY OVERALL	3	0	0	0	0	0	0	0	0	0	0	0	0	3

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

		SIMPLE			COMPLEX		EXPED	ITED PROCE	SSING
Agency / Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
AGENCY OVERALL	0	N/A	N/A	2	43	43	0	N/A	N/A

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Date of Receipt										
	Number of Days Pending										
AGENCY	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-21	2017-06-29
OVERALL	Number of Days Pending	0	0	0	0	0	0	0	0	29	65

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	of Days to	Number Adjudicated Within Ten Calendar Days
AGENCY OVERALL	3	1	1	2	4

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
AGENCY OVERALL	0	1	4	4

IX. FOIA PERSONNEL AND COSTS

		PERSONNEL			COSTS	
Agency / Component	Number of "Full- Time FOIA Employees"	Number of "Equivalent Full- Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
FMSHRC	0	1	1	\$120,000.00	\$0.00	\$120,000.00
			C			\$0.00
AGENCY OVERALL	0	1	1	\$120,000.00	\$0.00	\$120,000.00

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
FMSHRC	\$718.25	0.60%
AGENCY OVERALL	\$718.25	0.60%

XI.A. NUMBER OF TIMES SUBSECTION USED

Agency / Component	Number of Times Subsection Used	
FMSHRC		0
AGENCY OVERALL		0

XI.B. NUMBER OF SUBSECTION POSTINGS

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
FMSHRC	0	400
AGENCY OVERALL	0	400

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
FMSHRC	2	0
AGENCY OVERALL	2	0

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u>	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Received from Other Agencies that were
FMSHRC	0	0	0	0
				0
AGENCY OVERALL	0	0	0	0

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	Yth	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
	Date										
	Number of Days										
AGENCY	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days	0	0	0	0	0	0	0	0	0	0

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --REQUESTS RECEIVED AND PROCESSED

	NUMBER OF REQ	UESTS <u>RECEIVED</u>	NUMBER OF REQUESTS PROCESSED		
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report		Number Processed During Fiscal Year from Current Annual Report	
FMSHRC	132	101	133	98	
AGENCY OVERALL	132	101	133	98	

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

	Number of Backlogged	Number of Backlogged
Agency / Component	Requests as of End of the	Requests as of End of the
Agency / Component	Fiscal Year from Previous	Fiscal Year from Current
	Annual Report	Annual Report
FMSHRC	0	2
AGENCY OVERALL	0	2

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

	NUMBER OF APP	PEALS <u>RECEIVED</u>	NUMBER OF APPEALS <u>PROCESSED</u>		
Agency / Component		Number Received During Fiscal Year from Current Annual Report		Number Processed During Fiscal Year from Current Annual Report	
FMSHRC	0	2	0	2	
AGENCY OVERALL	0	2	0	2	

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FMSHRC	0	0
AGENCY OVERALL	0	0